

REGD. OFFICE :  
206, ASHWARATH COMPLEX, 2ND FLOOR, OPP. FORTUNE HOTEL LANDMARK,  
USMANPURA, AHMEDABAD - 380014. PH. : 079 - 2755 0140 (M) 94272 53790

CORP. OFFICE :  
99, CHINUBHAI TOWER, OPP. HANDLOOM HOUSE, ASHRAM ROAD,  
AHMEDABAD-380009. GUJARAT. (INDIA) E-mail : info@heerainspat.com



HIL/LIST/BSE/CL49/QTL-REP/09-2013

DATE: 12<sup>TH</sup> October, 2013

To  
Deputy General Manager,  
Corporate Relations Department,  
The Stock Exchange, Mumbai  
14<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai: 400 001.

Dear Sirs,

**Sub:** Submission of Quarterly Compliance Report on Corporate Governance for the  
Quarter ended 30<sup>TH</sup> SEPTEMBER, 2013 duly signed by Directors.

**Ref:** (1) Compliance to Clause 49 of the Listing Agreement.

With reference to the above mentioned subject matter, as desired by you we are Submitting herewith  
Quarterly Compliance report on Corporate Governance made out for the Quarter ended on 30<sup>TH</sup>  
SEPTEMBER, 2013 in compliance to clause 49 of the Listing Agreement which is duly certified and  
signed by Mr. Prakash Shah, Director and Mr. Suhag V. Shah, Director of the Company which please  
find in order as per requirements and acknowledge receipt.

Thanking you, we remain,

Yours Faithfully,  
FOR HEERA ISPAT LIMITED.

(Suhag V. Shah).  
Director

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**ISPAT**  
**HeeRa**

**ISPAT LIMITED**

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

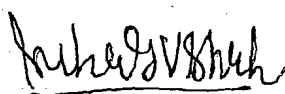
NAME OF THE COMPANY : HEERA ISPAT LIMITED  
QUARTER ENDING ON : 30<sup>TH</sup> SEPTEMBER 2013  
LISTING AT : THE STOCK EXCHANGE, MUMBAI  
SCRIPCODE : BSE: 526967  
ISIN NUMBER : INE 025 D 01013

No.	Particulars	Clause of Listing agreement	Compliance Status Yes / No	Remarks
<b>I</b>	<b>Board Of Directors</b>	49 I	<b>YES</b>	
(A)	Composition of Board	49 (IA)	<b>YES</b>	
(B)	Non- executive Director's compensation & disclosures	49 (IB)	<b>YES</b>	
(C)	Other provisions as to Board & Committees	49 (IC)	<b>YES</b>	
(D)	Code of Conduct	49 (ID)	<b>YES</b>	
<b>II</b>	<b>Audit Committee</b>	49 (II)	<b>YES</b>	
(A)	Qualified & Independent Audit Committee	49 (IIA)	<b>YES</b>	
(B)	Meeting of Audit Committee	49 (IIB)	<b>YES</b>	
(C)	Power of Audit Committee	49 (IIC)	<b>YES</b>	
(D)	Role of Audit Committee	49 II(D)	<b>YES</b>	
(E)	Review of Information by Audit Committee	49 (IIE)	<b>YES</b>	
<b>III</b>	<b>Subsidiary Companies</b>	49 (III)	<b>N.A.</b>	NO SUBSIDIARY
<b>IV</b>	<b>Disclosures</b>	49 (IV)	<b>YES</b>	
(A)	Basis of related party Transaction	49 (IV A)	<b>N.A.</b>	NO TRANSACTION
(B)	Disclosure of Accounting Treatment	49 (IV B)	<b>YES</b>	
(C)	Board Disclosures – Risk Management	49 (IV C)	<b>YES</b>	
(D)	Proceeds from public issues, right issues, preferential issues etc.	49 (IV D)	<b>N.A.</b>	
(E)	Remuneration of Directors	49 (IV E)	<b>YES</b>	NO REMUNERATION IS PAID TO DIRECTORS
(F)	Management	49 (IV F)	<b>YES</b>	
(G)	Shareholder	49 (IV G)	<b>YES</b>	
<b>V</b>	<b>CEO / CFO Certification</b>	49 (V)	<b>YES</b>	
<b>VI</b>	<b>Report on Corporate Governance</b>	49 (VI)	<b>YES</b>	PUBLISHED ANNUALLY, QUARTLY REPORT SUMMITTED STOCK EXCHANGES
<b>VII</b>	<b>Compliance</b>	49 (VII)	<b>YES</b>	

DATE: 12<sup>TH</sup> October, 2013

FOR HEERA ISPAT LIMITED

PLACE : AHMEDABAD

  
(SUHAG V. SHAH)  
DIRECTOR

  
(DINESH RAO)  
MANAGING DIRECTOR